April 25, 2001

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UTILITY PATENT APPLICATION TRANSMITTAL

| Attorney Docket No. | | No. | RCA 90,250 | | | | |
|---------------------|-------|-------|-----------------------------------|--|--|--|--|
| First Inventor Jean | | | n-Michel Roquais et al. | | | | |
| Title | CATHO | DE-RA | Y TUBE CATHODE AND ALLOY THEREFOR | | | | |

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Express Mail Label No. EL675421825US **Assistant Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🛛 Specification [Total Pages | a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c.

Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention 9. - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. English Translation Document (if applicable) 4. 🛛 12. 🛛 Drawing(s) (35 U.S.C.113) Total Sheets Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages 13. **Preliminary Amendment** a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. ☐ DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: ___ Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS ☐ Customer Number or Bar Code Label or 🔯 Correspondence address below (Insert Customer No. or Attach bar code label here) JOSEPH S. TRIPOLI Name THOMSON MULTIMEDIA LICENSING INC. 2 INDEPENDENCE WAY Address P. O. BOX 5312 City **PRINCETON** State NJ Zip Code 08543-5312 Country USA Telephone (609) 734 - 9763 (609) 734 - 9700 Name (Print/Type) DENNIS H. IRLBECK Registration No. (Attorney/Agent) 26,372

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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Signature

PTO/SB/17 (11-00) or use through 10/31/2002. OMB 0651-0032 Office: U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trad

| CEE TO A NOMETT A L | Complete if Known | | | | | | |
|---|----------------------|----------------------------|---|--|--|--|--|
| FEE TRANSMITTAL | Application Number | | | | | | |
| for FY 2001 | Filing Date | | | | | | |
| | First Named Inventor | Jean-Michel Roquais et al. | | | | | |
| Patent fees are subject to annual revision. | Examiner Name | | | | | | |
| | Group / Art Unit | | , | | | | |
| | | | | | | | |

| TOTAL AMOUNT OF PAYMENT (\$) 710 | | | | | | | Attorney Docket No. RCA 90,250 | | | | | | | |
|---|-------------|--------------|-------------|---------------------------------------|---|----------|--------------------------------|--------------------|-------------------------|---------------------------|------|---|--|------|
| METHOD OF PAYMENT (check one) | | | | | | | FEE CALCULATION (continued) | | | | | | | |
| The Commissioner is hereby authorized to charge | | | | | | | 3. ADE | 3. ADDITIONAL FEES | | | | | | |
| 1. indicated fees and credit any over payments to: | | | | | | Large | | Small | | | | | | |
| Done | noit. | | | · · · · · · · · · · · · · · · · · · · | _ | | | | Fee | Entity Fee | Fee | Entity Fee | | Fee |
| Depo Acco | | 07-08 | 32 | | | | | | Code | (\$) | Code | (\$) | Fee Description | Paid |
| Number | | | | | | | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | | |
| Deposit | | | | | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | |
| Account THOMSON MULTIMEDIA LICENSING INC. | | | | | | 139 | 130 | 139 | 130 | Non-English specification | | | | |
| Nam | - | L | | | - | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | | | | | | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | | | | | | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 2. | Paymei | nt Enclos | ed: | | | | | | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| ☐ Check ☐ Credit card ☐ Money ☐ Other Order - | | | | | | | | | 116 | 390 | 216 | 195 . | Extension for reply within second month | |
| - | | | FFF 6 | | | | | | 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 1. E | BASIC FIL | ING FE | | ALCULATIO | | | | | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| Large | Entity : | Small E | Entity | | | | | | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| Fee | | | | Fee Descrip | tion | | | | 119 | 310 | 219 | 155 | Notice of Appeal | |
| Code | • • • | | (\$) | | | _ | ee Paid | , | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 101 | | | | Utility filing for | | 71 | 10 | | 121 | 270 | 221 | 135 | Request for oral hearing | |
| 106 107 | | | | Design filing Plant filing fe | esign filing fee | | | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 108 | 710 | 208 | 355 | Reissue filing | j fee | | | 1 | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 114 | 150 | 214 | 75 | Provisional fi | lling fee | | |] | 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| | | | | | | | | , | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| | | SUI | BTOTA | L (1) | | _(\$ | 710 | J | 143 | 440 | 243 | 220 | Design issue fee | |
| 2. EXTR | RA CLAIN | A FEES | | | | | | _ | 144 | 600 | 244 | 300 | Plant issue fee | |
| | | | | Extra | Fee from | | Fee | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| Total Claim | ns 5 | -20 |) = [| Claims 0 | below |] = | Paid 0 |] | 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| Independen Claims | ıt 1 | -з | = | 0 : | < | - | 0 | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Multiple Dependent | | | • | | < |] = | 0 | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| Large | Entity | Small | Entity | y | | | | | 146 | 710 | 246 | 355 | Filing a submission after final rejection | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Desc | ription | | | | | | | | (37 ČFR § 1.129(a)) | |
| 103 | 18 | 203 | 9 | Claims in | excess of 2 | 0 | | | 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 102 | 80 | 202 | 40 | Independe | ent claims i | n exce | ss of 3 | | 170 | | 070 | 055 | | |
| 104 | 270 | 204 | 135 | Multiple d | ependent cl | aim, if | f not paid | | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 109 | 80 | 209 | 40 | | ** Reissue independent claims over original patent | | | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| 110 18 210 9 "Reissue claims in excess of 20 and over original patent | | | | | | | | | | | | | | |
| SUBTOTAL (2) (\$) 0 | | | | | Other fee (specify) | | | | | | | | | |
| | | | | | *Reduc | ed by Ba | sic Filin | g Fee Pa | aid SUBTOTAL (3) (\$) 0 | | | | | |
| **or num | ber previo | usly paid, i | f greater | ; For Reissues | , see above | | | | i | | | | L. / L. | |

| SUBMITTED BY Complete (if applicable) | | | | | | | | | | |
|---------------------------------------|-------------------|----------------------------------|--------|-----------|----------------|--|--|--|--|--|
| Name (Print/Type) | Dennis H. Irlbeck | Registration No. Attorney/Agent) | 26,372 | Telephone | (609) 734-9763 | | | | | |
| Signature | Den | in While | 1 | Date | April 25, 2001 | | | | | |

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